



PTO/SB/08A (08-03)

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| Substitute for form 1449  |   |    | <b>Complete if Known</b> |                        |                 |
|   |   |    | Application Number       | 10/772,214             |                 |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    | Filing Date              | February 3, 2004       |                 |
|   |   |    | First Named Inventor     | Chu, William W.Y.      |                 |
|   |   |    | Art Unit                 | Not Yet Assigned       |                 |
|   |   |    | Examiner Name            | Not Yet Assigned       |                 |
| Sheet   | 1 | of | 2                        | Attorney Docket Number | 019152-001111US |

| U.S. PATENT DOCUMENTS+ |                          |                 |                                   |                                |  |   |
|------------------------|--------------------------|-----------------|-----------------------------------|--------------------------------|--|---|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                          | Number          | Kind Code <sup>2</sup> (if known) |                                |  |   |
| RP                     | 1                        | 4,918,572       |                                   | 04-17-1990                     | Tarver et al.                                      |   |
|                        | 2                        | 4,872,091       |                                   | 10/03/89                       | Maniwa et al.                                      |   |
|                        | 3                        | 4,769,764       |                                   | 09/06/88                       | Levanon  |   |
|                        | 4                        | 5,311,397       |                                   | 05-10-1994                     | Harshberger et al.                                 |   |
|                        | 5                        | 5,317,477       |                                   | 05-31-1994                     | Gillett  |   |
|                        | 6                        | 5,331,509       |                                   | 07/19/94                       | Kikinis  |   |
|                        | 7                        | 5,436,857       |                                   | 07-25-1995                     | Nelson et al.                                      |   |
|                        | 8                        | 5,463,742       |                                   | 10/31/95                       | Kobayashi  |   |
|                        | 9                        | 5,539,616       |                                   | 07/23/96                       | Kikinis  |   |
|                        | 10                       | 5,550,710       |                                   | 08/27/96                       | Rahamim et al.                                     |   |
|                        | 11                       | 5,550,861       |                                   | 08/27/96                       | Chan et al.  |   |
|                        | 12                       | 5,608,608       |                                   | 03-04-1997                     | Flint et al.                                       |   |
|                        | 13                       | 5,600,800       |                                   | 02/04/97                       | Kikinis et al.                                     |   |
|                        | 14                       | 5,640,302       |                                   | 06/17/97                       | Kikinis  |   |
|                        | 15                       | 5,680,126       |                                   | 10/21/97                       | Kikinis  |   |
|                        | 16                       | 5,745,733       |                                   | 04-28-1998                     | Robinson   |   |
|                        | 17                       | 5,819,050       |                                   | 10-06-1998                     | Boehling et al.                                    |   |
|                        | 18                       | 5,948,047       |                                   | 09/07/99                       | Kikinis  |   |
|                        | 19                       | 5,991,163       |                                   | 11-23-1999                     | Marconi et al.                                     |   |
|                        | 20                       | 5,999,952       |                                   | 12/07/99                       | Jenkins et al.                                     |   |
|                        | 21                       | 6,029,183       |                                   | 02/22/00                       | Jenkins et al.                                     |   |
|                        | 22                       | 6,088,224       |                                   | 07-11-2000                     | Gallagher et al.                                   |   |
|                        | 23                       | 6,163,464       |                                   | 12-19-2000                     | Ishibashi et al.                                   |   |
|                        | 24                       | 6,208,522       |                                   | 03-27-2001                     | Manweiler et al.                                   |   |
|                        | 25                       | 6,216,185       |                                   | 04-10-2001                     | Chu  |   |
|                        | 26                       | 6,311,268       |                                   | 10-30-2001                     | Chu  |   |
|                        | 27                       | 6,314,522       |                                   | 11-06-2001                     | Chu et al.   |   |
|                        | 28                       | 6,317,329       |                                   | 11-13-2001                     | Dowdy et al.                                       |   |
|                        | 29                       | 6,345,330       |                                   | 02-05-2002                     | Chu  |   |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>3</sup>           |
|                          |                          | Country<br>Code <sup>4</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |   |  |                          |
| ICP                      | 31                       | PCT                          | WO 92/18924         |                                   | 10/29/92                       |   |  | <input type="checkbox"/> |
|                          | 32                       | PCT                          | WO 94/0097          |                                   | 01/06/94                       |   |  | <input type="checkbox"/> |
| RP                       | 33                       | PCT                          | WO 95/13640         |                                   | 05/18/95                       |   |  | <input type="checkbox"/> |
| Examiner<br>Signature    |                          | RAYMOND PHAN                 |                     |                                   | Date<br>Considered             | 11-15-05  |  |                          |

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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   | <i>Complete if Known</i> |                   |                        |                 |
|   |   | Application Number       | 10/772,214        |                        |                 |
|   |   | Filing Date              | February 3, 2004  |                        |                 |
|   |   | First Named Inventor     | Chu, William W.Y. |                        |                 |
|   |   | Art Unit                 | Not Yet Assigned  |                        |                 |
|   |   | Examiner Name            | Not Yet Assigned  |                        |                 |
| Sheet   | 2 | of                       | 2                 | Attorney Docket Number | 019152-001111US |

| FOREIGN PATENT DOCUMENTS |                       |                           |                                  |                                   |                             |   |   |                          |
|--------------------------|-----------------------|---------------------------|----------------------------------|-----------------------------------|-----------------------------|---|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                                  |                                   | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup>           |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup>              | Kind Code <sup>5</sup> (if known) |                             |   |   |                          |
| EP                       | 34                    | EPO                       | EP722138A1                       |                                   | 07/17/96                    |   |   | <input type="checkbox"/> |
| JP                       | 35                    | JP                        | JP6-289953<br>Including Abstract |                                   | 10/18/94                    |   |   | <input type="checkbox"/> |

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| Examiner<br>Signature | RAYMOND PHAN | Date<br>Considered | 11-15-05 |
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| Substitute for form 1449B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   | <b>Complete if Known</b> |                   |                        |                 |
|   |   | Application Number       | 10/772,214        |                        |                 |
|   |   | Filing Date              | February 3, 2004  |                        |                 |
|   |   | First Named Inventor     | Chu, William W.Y. |                        |                 |
|   |   | Art Unit                 | 2183              |                        |                 |
|   |   | Examiner Name            | Unassigned        |                        |                 |
| Sheet   | 2 | of                       | 2                 | Attorney Docket Number | 019152-001111US |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
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| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| RP                              | 1                        | Eversys Corp., "Eversys System 8000 Consolidated Network Server Market and Product Overview," Slide Presentation, downloaded from <<http://eversys.com>>, 20 pages total.   |                |
| I                               | 2                        | "SQL Server and NT Cluster Manager Availability Demo," Microsoft Server Programmer Developers Conference, November 1996, 15 pages total,  |                |
| RP                              | 3                        | Microsoft Cluster Service Center, "MSCS Basics," downloaded from <<http://www.nwnetworks.com/mscsbasics.htm>>, February 7, 2005, 6 pages total.   |                |
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| Examiner<br>Signature | RAYMOND PHAN | Date<br>Considered | 11-15-05 |
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|  |   |    |   | Art Unit                 | 2183              |
|  |   |    |   | Examiner Name            | Unassigned        |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>2</sup>           |
|                          |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |   |  |                          |
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| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),<br>publisher, city and/or country where published. | T <sup>2</sup> |
| RP                              | 1                        | Agerwala, T., Systems Journal "SP2 System Architecture" Volume 34, Number 2, 1995 Scalable<br>Parallel Computing Volume 34, Number 2, 1995  |                |
|                                 |                          |   |                |

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